

10/15/03
16569 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Transmittal Letter

22240 U.S. PTO
10/686757
101503

Date Mailed: October 15, 2003
Attorney Docket Number: Caso.R-01

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313

To the Commissioner for Patents:

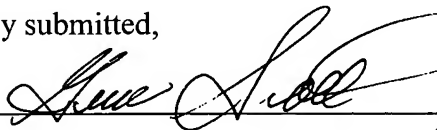
Please file the following enclosed patent application papers on behalf of a small entity:

Applicants' Names: Richard Caso and R. Brand Caso

Title: APPARATUS FOR DIRECTIONAL GUIDANCE OF A VASCULAR DEVICE AND METHOD OF
USE

- ✓ Specification, Claims, and Abstract: No. of Pages: Twenty
- ✓ Formal Drawing(s): Three sheets enclosed
- ✓ Declaration: Date Signed: September 19, 2003
- ✓ Recordation of Assignment
- ✓ Assignment of Invention
- ✓ Check Number 1773 for \$425 (385 for filing fee and \$40 recordation fee)
- ✓ Return Receipt Postcard Addressed to Agent

Respectfully submitted,



Customer Number: 022197

Gene Scott #37,930 Agent
Patent Law & Venture Group
3140 Red Hill Avenue, Suite 150
Costa Mesa, CA 92626-3440



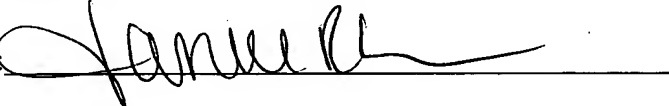
22197

PATENT TRADEMARK OFFICE

EXPRESS MAIL Label # EL967845278US; Date of Deposit: October 15, 2003

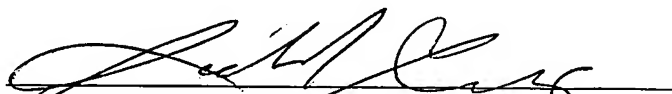
I hereby certify that this paper or fee is being deposited with the United States Postal Service using "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Mail Stop: Patent Application, Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450."

Signed:

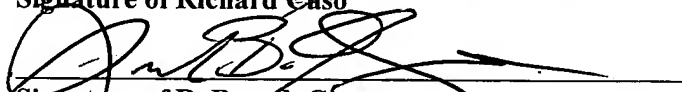


ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 11th day of September 2003



Signature of Richard Caso



Signature of R. Brand Caso



A DOCPHOENIX

☐ TRNA _____
Transmittal New Application

☒ SPEC 13 _____
Specification

☐ CLM _____
Claims

☐ ABST _____
Abstract

☐ DRW _____
Drawings

☐ OATH _____
Oath or Declaration

☐ ADS _____
Application Data Sheet

☐ A... _____
Amendment Including Elections

☐ A.PE _____
Preliminary Amendment

☐ REM _____
Applicant Remarks in Amendment

☐ IDS _____
IDS Including 1449

☐ 371P _____
PCT Papers in a 371P Application

☐ FOR _____
Foreign Reference

☐ NPL _____
Non-Patent Literature

☐ FRPR _____
Foreign Priority Papers

☐ ARTIFACT _____
Artifact

☐ LET. _____
Misc. Incoming Letter

☐ IMIS _____
Misc. Internal Document

☐ BIB _____
Bib Data Sheet

☐ WCLM _____
Claim Worksheet

☐ WFEE _____
Fee Worksheet

☐ APPENDIX _____
Appendix

☐ COMPUTER _____
Computer Program Listing

☐ SPEC NO _____
Specification Not in English

☐ N417 _____
Copy of EFS Receipt Acknowledgement

☐ CRFL _____
Computer Readable Form Transfer Request Filed

☐ CRFS _____
Computer Readable Form Statement

☐ SEQLIST _____
Sequence Listing

☐ SIR. _____
SIR Request

☐ AF/D _____
Affidavit or Exhibit Received

☐ DIST _____
Terminal Disclaimer Filed

☐ PET. _____
Petition

☐ END JOB☐ DUPLEX